



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lesley MURRAY et al.

Title: TREATMENT OF EXCESSIVE OSTEOLYSIS WITH INDOLINONE

COMPOUNDS

Appl. No.: 10/780,917

Filing Date: 02/19/2004

Examiner: Unassigned

Art Unit: Unassigned

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTC INFORMATION DISCLOSU STATEMENT BY APPLICANT (use as many sheets as necess Sheet

	Complete if Known	
Application Number	10/780,917	
Hing Date	02/19/2004	
Ing Date Inst Named Inventor Froup Art Unit	Lesley Murray	
roup Art Unit	Unassigned	
Examiner Name	Unassigned	
Attorney Docket Number	034536-1035	

				U.S. PATENT DOCUMENTS	3	
	Cite No. ¹	U.S. Patent D	ocument	Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines, Where Relevant
Examiner Initials*		Number	Kind Code ² (if known)		Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	A1	6,573,293	B2	TANG et al.	06-03-2003	
	A2	6,642,232	B2	MATTSON et al.	11-04-2003	
	А3	6,653,308	B2	GUAN et al.	11-25-2003	
	A4	2004/0018528	A1	MORIMOTO et al.	01-29-2004	

				F	OREIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No. ¹	F Office	oreign Patent D Number ⁴	Oocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	A5	wo	00/08202	A2	SUGEN, INC.	02-17-2000		
	A6	wo	01/60814	A2	SUGEN, INC.	08-23-2001		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
y	A7	BRANDI et al., "Bidirectional regulation of osteoclast function by nitric oxide synthase isoforms," <u>Proc. Natl. Acad. Sci. USA</u> , March 1995, pp. 2954-2958, Vol. 92	
	A8	CLOHISY et al., "Review of Cellular Mechanisms of Tumor Osteolysis," <u>Clinical Orthopaedics and Related</u> Research, 2000, pp. 104-114, No. 373, Lippincott Williams & Wilkins, Inc.	
	A9	COLEMAN et al., "Osteoclast inhibition for the treatment of bone metastases," <u>Cancer Treatment Reviews</u> , January 1993, pp. 79-103, Vol. 19, No. 1, Academic Press Limited	

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for form 144	49B/PTO		Complete if Known	
•	INFORMATION DISC	LOSURE	Application Number	10/780,917	
	STATEMENT BY AP	PLICANT	Filing Date	02/19/2004	
			First Named Inventor	Lesley Murray	
			Group Art Unit	Unassigned	
	(use as many sheets as	necessary)	Examiner Name	Unassigned	
Sheet	2	of 4 .	Attorney Docket Number	034536-1035	

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	No.1 No.1 No.1 No.1 No.1 No.1 No.1 No.1						
	A10	DAVIES et al., "The Osteoclast Functional Antigen, Implicated in the Regulation of Bone Resorption, Is Biochemically Related to the Vitronectin Receptor," <u>The Journal of Cell Biology</u> , October 1989, pp. 1817-1826, Vol. 109, No. 4, Part 1, The Rockefeller University Press					
ı	A11	DEMERS et al., "Biochemical Markers and Skeletal Metastases," Cancer Supplement, June 15, 2000, pp. 2919-2926, Vol. 88, No. 12, John Wiley & Sons, Inc.					
	A12	FISHER et al., "Inhibition of Osteoclastic Bone Resorption in Vivo by Echistatin, an "Arginyl-Glycyl-Aspartyl" (RGD)-Containing Protein," Endocrinology, 1993, pp. 1411-1413, Vol. 132, No. 3, The Endocrine Society, USA					
	A13	FLICK et al., "Recognition of activated CSF-1 receptor in breast carcinomas by a tyrosine 723 phosphospecific antibody," Oncogene, May 29, 1997, pp. 2553-2561, Vol. 14, No. 21, Stockton Press					
	A14	FRIEDMAN et al., "Thyrocalcitonin: Inhibitor of Bone Resorption in Tissue Culture," <u>Science</u> , December 10, 1965, pp. 1465-1467, Vol. 150					
	A15	HALL et al., "Taxol Inhibits Osteoclastic Bone Resorption," <u>Calcified Tissue International</u> , December 1995, pp. 463-465, Vol. 57, No. 6, Springer-Verlag New York Inc.					
	A16	HENTUNEN et al., "Inhibition of Bone Resorption by a Monoclonal Antibody That Reacts with a 150 kD Membrane Protein in Chicken Osteoclasts," <u>Journal of Bone and Mineral Research</u> , October 1991, pp. 1091-1097, Vol. 6, No. 10, Mary Ann Liebert, Inc. Publishers					
	A17	HUNT et al., "Cellular mechanisms of bone resorption in breast carcinoma," <u>British Journal of Cancer</u> , 2001, pp. 78-84, Vol. 85, No. 1, Cancer Research Campaign					
	A18	JILKA et al., "Increased Osteoclast Development After Estrogen Loss: Mediation by Interleukin-6," <u>Science</u> , July 3, 1992, pp. 88-91, Vol. 257					
	A19	KACINSKI et al., "FMS (CSF-1 receptor) and CSF-1 transcripts and protein are expressed by human breast carcinomas in vivo and in vitro," Oncogene, June 1991, pp. 941-952, Vol. 6, No. 6, Macmillan Press Ltd.					
	A20	KACINSKI, "CSF-1 and Its Receptor in Ovarian, Endometrial and Breast Cancer," <u>Annals of Medicine</u> , February 1995, pp. 79-85, Vol. 27, No. 1					
	A21	KACINSKI, "CSF-1 and Its Receptor in Breast Carcinomas and Neoplasms of the Female Reproductive Tract," Molecular Reproduction and Development, January 1997, pp. 71-74, Vol. 46, No. 1, Wiley-Liss					

Examiner	Date
Signature	Considered

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•	Substitute for	or form 1449B/PTO		Complete if Known	
	INFORMATI	ON DISCLOSURE	Application Number	10/780,917	
	STATEMEN	T BY APPLICANT	Filing Date	02/19/2004	
			First Named Inventor	Lesley Murray	
			Group Art Unit	Unassigned	
	(use as many a	sheets as necessary)	Examiner Name	Unassigned	
Sheet	3	of 4	Attorney Docket Number	034536-1035	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A22	MAHER et al., "Prognostic Significance of Colony-stimulating Factor Receptor Expression in Ipsilateral Breast Cancer Recurrence," <u>Clinical Cancer Research</u> , August 1998, pp. 1851-1856, Vol. 4, No. 8, American Association of Cancer Research	
	A23	MANCINO et al., "Breast Cancer Increases Osteoclastogenesis by Secreting M-CSF and Upregulating RANKL in Stromal Cells," <u>Journal of Surgical Research</u> , September 2001, pp. 18-24, Vol. 100, No. 1, Academic Press	
	A24	MUNDY, "Regulation of Bone Formation by Bone Morphogenetic Proteins and Other Growth Factors," Clinical Orthopaedics and Related Research, 1996, pp. 24-28, No. 323, Lippincott-Raven Publishers	
	A25	MUNDY, "Cytokines and Growth Factors in the Regulation of Bone Remodeling," <u>Journal of Bone and Mineral Research</u> , December 1993, pp. S505-S510, Vol. 8, Supplement 2, Mary Ann Liebert, Inc., Publishers	
	A26	O'NEILL et al., "Effect of Retinoic Acid on the Resorptive Activity of Chick Osteoclasts <i>in Vitro</i> ," <u>Bone</u> , 1992, pp. 23-27, Vol. 13, No. 1, Pergamon Press plc, USA	
	A27	PEDERSON et al., "Identification of Breast Cancer Cell Line-derived Paracrine Factors That Stimulate Osteoclast Activity," Cancer Research, November 15, 1999, pp. 5849-5855, Vol. 59	
	A28	QUINN et al., "Human Tumour-Associated Macrophages Differentiate Into Osteoclastic Bone-Resorbing Cells," <u>Journal of Pathology</u> , January 1998, pp. 31-36, Vol. 184, No. 1, John Wiley & Sons, Ltd.	
	A29	ROBINS et al., "Standardization of pyridinium crosslinks, pyridinoline and deoxypyridinoline, for use as biochemical markers of collagen degradation," <u>Clinical Chemistry</u> , 1996, pp. 1621-1626, Vol. 42, No. 10	
	A30	SCHMIDT et al., "Characterization of spontaneous metastasis in an aggressive breast carcinoma model using flow cytometry," Clinical & Experimental Metastasis, 1999, pp. 537-544, Vol. 17, No. 6, Kluwer Academic Publishers, Netherlands	
	A31	TAKAHASHI et al., "Downregulation of Calcitonin Receptor mRNA Expression by Calcitonin during Human Osteoclast-like Cell Differentiation," <u>J. Clin. Invest.</u> , January 1995, pp. 167-171, Vol. 95, No. 1, The Rockefeller University Press	
	A32	TAMURA et al., "The Relationship between Urinary Pyridinoline, Deoxypyridinoline and Bone Metastasis in a Rat Breast Cancer Model," <u>Breast Cancer</u> , January 1999, pp. 23-28, Vol. 6, No. 1	
	A33	TANAKA et al., "Macrophage Colony-stimulating Factor is Indispensable for both Proliferation and Differentiation of Osteoclast Progenitors," <u>J. Clin. Invest.</u> , January 1993, pp. 257-263, Vol 91, No. 1, The Rockefeller University Press	

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Sheet	4	of	4	Attorney Docket Number	034536-1035	

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Examiner Initials*	Cite No. ¹	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶		
	A34	TANG et al., "M-CSF (Monocyte Colony Stimulating Factor) and M-CSF Receptor Expression by Breast Tumour Cells: M-CSF Mediated Recruitment of Tumour Infiltrating Monocytes?" <u>Journal of Cellular Biochemistry</u> , December 1992, pp. 350-356, Vol. 50, No. 4, Wiley-Liss, Inc.	H		
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	A36	WEBBER et al., "Correlation of an Osteoclast Antigen and Ruffled Border on Giant Cells Formed in Response to Resorbable Substrates," <u>Journal of Bone and Mineral Research</u> , 1990, pp. 401-410, Vol. 5, No. 4, Mary Ann Liebert, Inc., Publishers			
	A37	YASUDA et al., "Osteoclast differentiation factor is a ligand for osteoprotegerin/osteoclastogenesis-inhibitory factor and is identical to TRANCE/RANKL," <u>Proc. Natl. Acad. Sci. USA</u> , March 1998, pp. 3597-3602, Vol. 95, The National Academy of Sciences			
	A38	YEE et al., "The Constitutive Production of Colony Stimulating Factor 1 by Invasive Human Breast Cancer Cells," Anticancer Research, November-December 2000, pp. 4379-4384, Vol. 20, No. 6B			
	A39	YONEDA et al., "Suramin Surpresses Hupercalcemia and Osteoclastic Bone Resorption in Nude Mice Bearing a Human Squamous Cancer," <u>Cancer Research</u> , May 1, 1995, pp. 1989-1993, Vol. 55, No. 9			

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